

Crown ether containing polyelectrolyte multilayer membranes for lithium recovery

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Abstract

Achieving solute selectivity has always been a goal of membrane development studies. The continuing growth of global consumption of scarce metals by different industries has put a strain on traditional sources of these species. Achieving cation selectivity in membranes, especially among monovalent cations, is a major step in introducing alternative sources for scarce metals such as lithium.

Polyelectrolyte multilayer membranes (PEMMs) are a novel class of membranes, offering great potentials in monovalent/bivalent ion selectivity. On the other hand, crown ethers are a well-studied family of macrocyclic ligands capable of forming stable complexes with cations. In the current study, for the first time, we report on a PEMM nanofiltration membrane with crown ether moieties embedded in its structure for the goal of achieving monovalent salt selectivity. The crown ether 15-crown-5 was successfully incorporated in the polycation polyethylenimine (PEI), which was then used as the polycation in PEMM formation through layer by layer deposition. Both the synthesized polymer and the polyelectrolyte multilayer (PEM) were characterized and the performance of the resulting membrane

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27 was studied. It was determined that crown ether containing polymer forms
28 more stable complexes with lithium than potassium. This was explained
29 by the limitation put on 2:1 potassium-crownether complexes by steric hin-
30 drance from polymer chain. The manufactured membranes showed Li/K
31 selectivity for a period of around 90 minutes, after which the crown ethers
32 became saturated and selectivity was lost. The modified membranes became
33 non selective after this point, but possessed high salt rejection potential.

34 *Keywords:* polyelectrolyte multilayer membranes, layer by layer
35 deposition (LBL), Crownethers, Lithium recovery, Selective separation

36 1. Introduction

37 Application of lithium in Li-ion batteries and the continuous shift from
38 fossil-based energies towards electrical energy, has put a high pressure on
39 conventional lithium resources. Based on the conservative estimations, cur-
40 rent lithium reserves are projected to run out by 2080 [1]. Alternative
41 lithium sources such as sea water, industrial and mining waste waters, RO
42 brines and spent lithium batteries can significantly lower the toll on the
43 current reserves[2]. For example, assuming a recovery efficiency of 80%,
44 more than 60% of the worldwide lithium consumption can be recovered
45 from RO desalination brine[3]. Lately, tremendous amount of effort has
46 been directed at the search for novel lithium sources and recovery methods
47 by both academia and industry. Diallo and co-workers [3] have reviewed
48 prospects of critical metal recoveries from seawater. Meshram *et al.* [4]
49 have investigated traditional and novel methods of lithium recovery from
50 primary and secondary sources. Although recovery from alternate sources
51 is still very limited in an industrial scale, a number of small scale plants
52 aimed at lithium harvesting from the seawater exist. For example the In-
53 stitute of ocean energy at Saga University in Japan prepared a lab scale
54 set-up for lithium extraction from seawater based on MnO_2 adsorbers [4, 5].
55 In another attempt, Korea institute of geoscience and mineral resources
56 (KIGAM) partnered with steel making industry POSCO in 2010 to launch
57 a seawater lithium harvesting plant with the aim of reaching 30 tonnes of
58 production over a course of four years. [6]

59 The greatest obstacles of lithium harvesting from alternative sources
60 are the low concentration of lithium, and the presence of other alkali met-
61 als. Therefore development of a separation process capable of differentiat-

ing among different monovalent cations is of great importance. Absorbents of ion sieve type (such as manganese oxide $\text{H}_{1.6}\text{Mn}_{1.6}\text{O}_4$ and spinel-type $\lambda - \text{MnO}_2$) are one of the most promising solutions to the selectivity obstacle, with the later capable of concentrating lithium up to 400 times from a brine with high concentrations of sodium[4, 7]. However the purity of the extracted lithium can be still low ($\approx 33\%$) and sodium contamination can still be problematic. Crownether based adsorbents are another class of cation selective adsorbents studied.

Layer by layer (LBL) deposition of polyelectrolytes is a membrane fabrication method which results in formation of ultra-thin poly electrolyte layers as active layer. Compared to other thin film deposition methods, LBL assembly is extremely cheap, simple and versatile[8] and provides the possibility of controlling the film properties while offering excellent flexibility and fine tuning [9]. Therefore polyelectrolyte multilayer membranes (PEMMs) have enjoyed considerable interest in recent years. An overview of the LBL literature and the PEMMs can be found in reviews [10, 11, 12]. Since the main mechanism of ion rejection in PEMMs is based on charge rather than size, LBL assembled membranes are known to reject divalent ions better than the monovalents [10], however monovalent selectivity remains challenging.

Crownethers are a family of macrocyclic ligands well known for their ability to form stable complexes with cations. Agreement between the crown ether cavity size and the cation size is the main factor affecting the stability of the cation-ligand complex formed. This was originally proposed by Pedersen [13] and later confirmed by X-ray crystallography [14]. However, it only provides a rule of the thumb, leaving out several other factors that can influence and even reverse the order of cation preference[15].

89 The mechanism of the complex formation in crownethers involves a com-
90 plete or partial shedding of the solvation shell of the dissolved ions and
91 subsequent solvation of the formed complex. Therefore the nature of the
92 medium in which complexation is taking place plays a vital role in the ther-
93 modynamics of the reaction. It should be mentioned that in our case, the
94 complexation is taking place inside the membrane pores, where an accurate
95 definition of the medium is debated. It is known that physical properties of
96 confined water in nanopores and especially its dielectric constant is differ-
97 ent from that of the bulk water [16, 17]. Moreover, hydration shells of the
98 cations are prone to rearrangement or even breakage inside the nanopores
99 [18]. It can thus be expected that crownethers confined in nanopores can
100 act different than when they are free in the bulk.

101 Selectivity of the crownethers is also affected by the ring flexibility[15,
102 19]. Modifying the ring rigidity is regularly used to increase selectivity[19,
103 20]. The nature of the chemical groups in immediate vicinity to the ether
104 groups also have a profound effect on the strength of the interaction. The
105 electron density of the adjacent oxygen atoms and thus the binding capacity
106 of the ligand are affected by attached groups. For example, it is shown that
107 K/Na selectivity can be reversed in crownethers with electron releasing or
108 electron attracting substituents[15]. It is also shown that extremely stable
109 complexes can be formed when there are anionic groups attached to the
110 crownether[21]. Therefore, the cation-crownether complexes in the PEMMs,
111 where anionic groups from the polyanion chain are present, are expected to
112 be stronger. It's been reported that the response of different cations to the
113 presence of the anionic groups is unpredictable and generalization is not
114 possible [15].

115 Based on the relative size of the cation and the cavity, the formed com-

plex can be of different stoichiometries. The 2:1 sandwich chemistry, in which the cation is present on top of one crownether and is sandwiched from the other side by another, provides the complex formation possibility for larger cations [22, 15]. For example it is shown that in solid state, the potassium complex in benzo-15-crown-5 (the precursor used in this work) is of the sandwich type[23]. In some cases existence of such higher order chemistries compromises the selectivity potential of crownethers. Formation of this type of complexes can be limited by adding bulky subunits to the ether ring, blocking the formation of sandwich complexes by steric hindrance[19, 24, 25, 26]. In a similar manner, polymerization of crownethers can affect their potential for forming higher order complexes. It is known that the order of cation preference changes in poly-crownethers with respect to their monomers. For example, Kimura *et al.* found that polymers of 18-crown-6 and 15-crown-5 have higher binding constants for cations that tend to form 2:1 complexes than their respective monomers, whereas binding constants of the smaller cations which form 1:1 complex remain more or less unchanged [27]. We expect that incorporation of the crownethers in highly branched PEI polymer in this work, introduces high steric hindrances and seriously limits the 2:1 complexing ability.

Considering all the above mentioned factors, it is inherent that the order of cation preference in the polymer synthesized in this project can't be predicted from its precursor crownethers. To the best knowledge of the writers, this is the first time this modification route is being taken for PEI as a polymer for membrane fabrication and thus no prior data is available on the complex strength of different cations and the crownether.

Crownethers have been used in several studies on membranes. However, in most cases, crownethers serve as the carrier in carrier mediated

143 transports. To the best knowledge of the writers, this is the first report on
144 usage of crownethers in a pressure driven nanofiltration membrane. Liq-
145 uid membranes (LMs), a family of membranes where a liquid organic phase
146 separates two aquatic phases, build up a substantial part of the literature
147 on crownethers [28, 29, 30, 31]. The most important problems of this class
148 of membranes are leaching of the organic solvent or carrier and contamina-
149 tion of the aquatic phases due to the limited solubilities [32, 33, 34]. As
150 a close relative to LMs, plasticized polymeric membranes reportedly house
151 semi-liquid pockets in between their crystalline parts [35]. Crownethers have
152 been used as fixed carriers in plasticized cellulose triacetate (CTA) for gold,
153 silver, copper [34] and cesium [36, 37] ion transport.

154 Crown ethers have also been regularly used in ion exchange resins and
155 membranes. The group of Bartsch developed several polycrownethers with
156 acidic side arms to be used as ion exchange resins to achieve alkali metal
157 [38, 39] and lead (II) [40] selectivity. Favre-Reguillon *et al.* [41] immobilized
158 a number of crown ethers on polyurethans and studied the effect of polymer
159 chain rigidity on the complexation. More recently, Tas and co-workers in-
160 corporated 18-crown-6 in Poly arylene ether ketone (PAEK) backbone and
161 blended it with sulfonated poly ether ether ketone (SPEEK) to prepare
162 monovalent cation selective membranes which they tested under diffusion
163 dialysis [42].

164 Crownethers can be added to the membrane by either blending or cova-
165 lent bonding to the matrix. Blending crownethers with the polymer solution
166 during phase inversion or interfacial crystallization is one of the most com-
167 mon methods of embedding crown ethers in membrane structure. In the
168 resulting membranes, crown ethers are trapped in the polymer matrix and
169 therefore more immune to leaching [43]. Major disadvantages of this method

are the promotion of structural defects and the possibility of demixing and uneven distribution [35]. Dulyea and co-workers prepared phase inverted membranes by blending a crownether containing polymer with an otherwise impermeable polymer. The resulted membranes showed a Na/K selectivity of 1.8:1. However, the membrane structure was disrupted at high crownether concentrations and defects in membrane structure even at low concentrations were reported [33]. Therefore, embedding of crownethers through covalent bonds in polymers is believed to yield more stable membranes. An overview of different methods to incorporate crownethers in polymers is given by Alexandratos and Stine [43].

Careful consideration must be given in selection of the crown ether moiety. Due to the close resemblance of the ionic radii and chemical properties of alkali metals, most of the crown ethers form complexes (with varying degrees of stability) with multiple ions. An optimal performance of the membrane can be achieved by selecting a crown ether which has a fundamentally different reaction to the desired target ion. In our case, this translates in forming complexes with all alkali metals except lithium. The complex formation constants from table 1 confirm such a property for 15-crown-5. Lithium-15-crown-5 complexes are unstable in water [44], whereas potassium and sodium are capable of forming stable complexes [45, 46, 47, 48].

1.1. Goal of the study

PEMMs possess a natural monovalent/bivalent selectivity which makes them suitable for development of selective membranes. Monovalent selectivity can be introduced to these membranes by inclusion of crown ethers. Here, we report the covalent attachment of the crown ethers as pendant groups to the poly cation chain and subsequent LBL deposition of the modified

Table 1: Thermodynamic data of complexes of cations with 15-crown-5 and benzo-15-crown-5.

Crownether	Cation	Log K_a	Medium	Method	reference
15-crown-5	Li	≈ 0	H ₂ O	NMR	[44]
	Na	0.44	H ₂ O	NMR	[47]
	Na	0.70	H ₂ O	Calorimetry	[45]
	K	0.74	H ₂ O	Calorimetry	[45]
benzo-15-crown-5	Na	0.40	H ₂ O	Electrophoresis	[48]
	K	0.38	H ₂ O	Electrophoresis	[48]
	K	0.38	H ₂ O	Calorimetry	[46]

polycation and polyanion to form a lithium selective nanofiltration membrane. It was crucial to investigate membrane stability at high salinities since PEMMs are known to be susceptible to high salinity and proposed alternative lithium sources such as RO brines are highly concentrated.

One of the important differences of the membrane prepared in this study and the majority of the crown ether containing membranes such as LMs is the nature of the matrix. The organic liquid phase of LMs are virtually impermeable to water and ions and crown ethers offer the only ion permeation pathway. Whereas normal PEMMs are permeable to water and ionic species. In our case, ion exchange sites intrinsic to the polyelectrolytes are much more abundant than crownethers. Therefore "ion hopping" can be expected to take place through these sites. Incorporation of the crownethers in the PEMM structure introduces a number of complexation sites in the membrane. Strong complexes formed in these sites can act as an Ion trap, hindering the transport of the cation across the membrane. The differences

in the complex strength between different cations is reflected in the selectivity. Based on the complexation strength, trapped ions need to overcome a certain energy barrier to move past the membrane. Therefore we expect the membrane to hinder the transport of complexing cations. It can thus be expected that the membrane will reject the complex forming ions more, and cations with the less stable complexes will be more abundant in the permeate. Based on the thermodynamic data (Table 1), we expect a reduction in the transport rate of all alkali metals in the crown ether containing membranes. However, this reduction will be more severe in the case of sodium and potassium in comparison to lithium, resulting in a lithium rich permeate.

2. Materials and methods

2.1. Synthesis and characterization of crownether incorporated polycation

2.1.1. 4'-formylbenzo 15 crown-5

4'-Formylbenzo 15-crown-5 was synthesized by a ring closure reaction between a linear ether and 3,4-dihydroxybenzaldehyde based on the method of Ungaro *et al.*[49] with slight modifications. A detailed explanation of the synthesis procedure can be found in the supporting information. In total, 3.9 grams of crownether crystals were purified, corresponding to a yield of around 20%. The low yield of the procedure is attributed to two factors: undesired reactions and loss of product during purification steps. Of these, the former is responsible for almost half of the loss. Possible side reactions include monosubstituted reaction of the hydroxyl groups of dihydroxybenzaldehyde resulting in an open ring and the association of the benzaldehyde to two different ether chains.

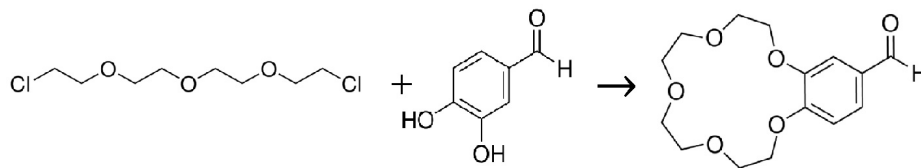


Figure 1: synthesis route for 4'-formylbenzo-15crown5.

236 The nature and purity of the resulting crystal was studied by NMR spec-
 237 tra. HNMR was performed at 400MHz with a Bruker Avance III Nanobay
 238 400 MHz spectrometer. Tetramethylsilane (TMS) was used as reference
 239 compound. It was determined that the final crystals had a purity of about
 240 95%. The main remaining impurity was unreacted linear ether which was
 241 not removed during the purification, with HNMR peaks between 3.6 and 3.8
 242 ppm.

243 2.1.2. Incorporation of crown ether in PEI

244 The synthesized formylbenzo 15 crown-5 was incorporated in the Polyethylen-
 245 imine (PEI) structure by reductive amination. The protocol was adopted
 246 from the work of Kasprzak *et al.* who added chemically similar p-formylbenzoic
 247 acid to PEI [50]. The PEI solution (50 wt% in water, highly branched, M_w
 248 750kDa, M_n 60kDa, Sigma-Aldrich) was first dried using a rotavapor un-
 249 der vacuum. 50 ml of methanol was then charged with 2.5 g of dry PEI,
 250 15 mg (0.25 mmol) of acetic acid and 375 mg (1.25 mmol) of synthesized
 251 4'-formylbenzo 15crown-5. The mixture was refluxed for one hour and then
 252 left to stir at room temperature for 24 hours. 190 mg (5.0 mmol) of NaBH_4
 253 was then added to the mixture as the reducing agent and stirring continued
 254 for another 4 hours at room temperature.

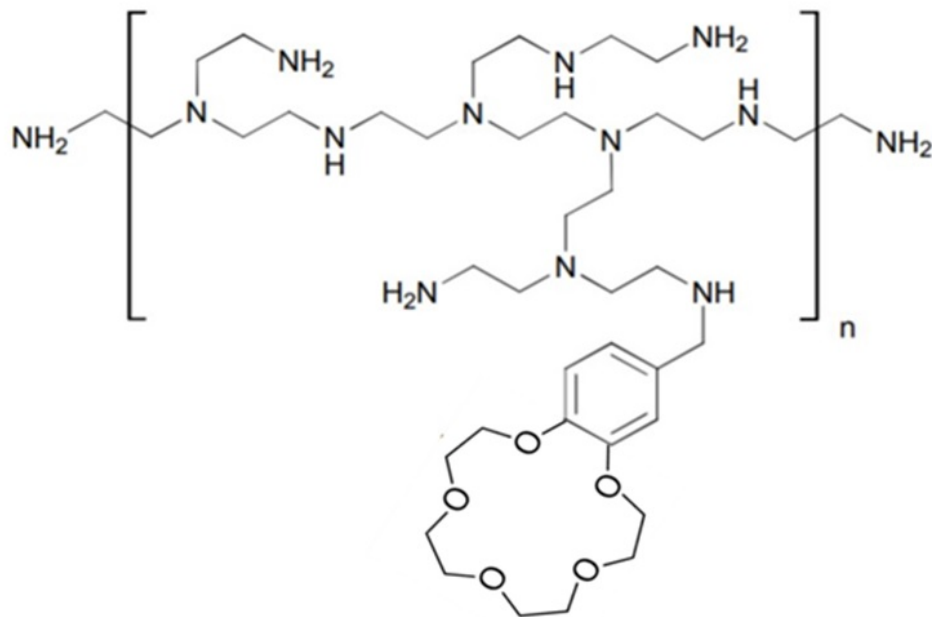


Figure 2: crownether incorporated polyetherimine.

255 *2.1.3. Polymer purification and characterization*

To remove the unbound crownethers and any other byproducts from modified PEI, the solution was extracted three times with ethyl acetate, leaving PEI in the aqueous phase. The resulting solution was then dialysed using DI water for two days. A Spectra/Por[®] regenerated cellulose dialysis membrane kit with MWCO of 12-14kDa from Spectrum labs (US) was used for this procedure. HNMR spectra (Fig. 3) validated the presence of crown ethers in the polymer structure. Peak were assigned to hydrogens with the help of ACDLabs's 1D NMR Processor software (ACD labs, Canada) (refer to Table.2). From these data, it was determined that crownether has been added in a ratio of one molecule for every 44 amine groups, corresponding to $0.53 \frac{mmol \text{ crownether}}{g \text{ of polymer}}$ (for a detailed discussion on calculation of this ratio

refer to Appendix B in the supporting material). The stoichiometric ratios of reactants have a direct effect on the ratio of crown ether/PEI monomer in the final product, which in turn affects the performance of the synthesized membranes. However, only two recipes were tested and it was decided that an optimization of the crown ether/monomer ratio is out of the scope of the current study.

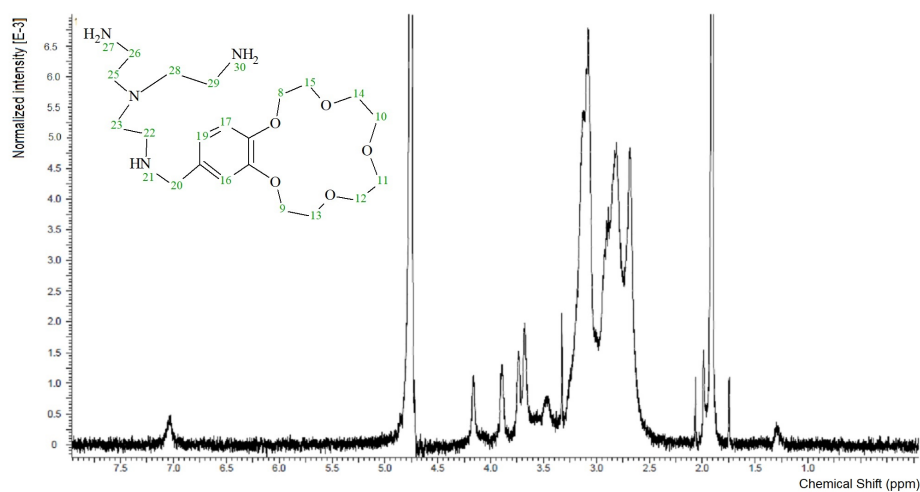


Figure 3: HNMR spectrum of crownether incorporated polyetherimine in D₂O. please refer to 2 for list of assigned peaks. X axis represents chemical shift (ppm) and Y axis represents normalized intensity ($\times 10^{-3}$)

2.2. Validation of PEM formation and evaluation of layer thickness via QCM-D

Highly branched polyethylenimine (PEI) was used as the polycation on this study. Poly styrenesulfonate (PSS), arguably the most common polyanion, was chosen to be paired with PEI. To validate the formation of the PEM, quartz crystal microbalance with dissipation (QCM-D) was used. The QCM-D technique can determine the mass and physical properties of the film de-

Table 2: Assigned peaks to the NMR spectrum

Atom number	H shift (ppm)
26,29,27,30	1.905
23,25,28	2.684
22	2.807
12,14,10,11	2.897
20,13,15	3.079
21	3.327
8	3.679
9	3.733
19	7.027
17,16	7.055

280 posited on the surface of the sensor by measuring the change of resonating
 281 frequency of a quartz crystal resonator. Measurements were performed on a
 282 Q-Sense E4 from Biolin scientific (Sweden), using silica coated quartz crys-
 283 tals with a fundamental frequency of 5Mhz (Biolin scientific-Sweden). A
 284 Rheodyne MX seriesIITM actuated valve from Idex health and science (US)
 285 was used as liquid handler for switching between different streams at the
 286 designated times.

287 The procedure of PE coating on the sensors was in close resemblance
 288 to the dip coating procedure used (refer to section 2.4). PSS (M_w 70kDa,
 289 Sigma-Aldrich) was used as polyanion. A 0.4wt% PE solution in 0.5M NaCl
 290 was pumped at 0.1 ml/min for 15 min, followed by the rinsing steps at 0.5M
 291 NaCl in DI water. The duration and flow rate of the rinsing step was also
 292 15 min and 0.1 ml/min respectively. Raw data were processed with the

293 help of Qsense-Dfind software provided by Biolin Scientific. A density of 1.2
 294 gr/cm^3 was assumed for the polyelectrolyte layers in accordance with the
 295 literature [51]. Each set of measurements was performed on four identical
 296 sets of sensors and results were averaged.

297 2.3. PEM stability

298 To assess the stability of the resulting PEM at high salinity levels, a
 299 test with QCM was designed. Stability of four set of PEMMs (modified and
 300 unmodified polycation, PEMMs ending in positively or negatively charged
 301 layers) was studied. Silica coated quartz crystals were coated with either
 302 four layers (2 bilayers) or five layers (2.5bilayers) of PEs. This step is marked
 303 in the Fig. 6 in supporting materials as PE coating step. At the end of the
 304 coating step, the sensors were rinsed with Milli-Q water to obtain a base-
 305 line. This is necessary since a change in the salinity results in change of the
 306 multilayer swelling and water content. The water content of the membranes
 307 are reflected in the QCM results, rendering the stability validation by com-
 308 parison of membrane thicknesses in saline solutions impossible. Comparing
 309 the signals at Milli-Q water eliminates this effect. The multilayer was then
 310 put in contact with a saline solution of NaCl with varying concentration,
 311 starting from 1 M and raised stepwise in 0.25M steps until reaching 4.75M.
 312 Higher concentrations were not pursued due to the risk of NaCl crystalliza-
 313 tion inside the instrument. Adequate time was given to the PE multilayer
 314 to reach a constant frequency ($\Delta f/t < 0.1\text{Hz}/\text{min}$). After this the solution
 315 was changed to Milli-Q to quantify mass loss from the PEM. These steps are
 316 identifiable in Fig. 5 and Fig.6 in supporting material with their respective
 317 NaCl concentrations.

318 2.4. Membrane synthesis via LBL assembly

319 Nanofiltration membrane coupons were prepared via dip coating on a
320 Polyacrylonitrile (PAN) support layer. A PAN ultrafiltration membrane
321 from Synder filtration (US) with a MWCO of 30kDa was hydrolyzed by
322 immersion in a solution of NaOH 1.5M at 45°C for 1.5 hours. Membrane
323 coupons (with both sides exposed) were then immersed in subsequent solu-
324 tions of 0.4 wt% PE in the presence of 0.5M of NaCl as supporting electrolyte
325 for 15 minutes. Rinsing was also performed using a 0.5M NaCl solution
326 for 15 minute periods. A complete cycle of bilayer deposition consisted of
327 dipping the membranes in solutions of polycation, rinsing, polyanion and
328 rinsing again. This cycle was repeated three times to obtain 6 layers (three
329 bilayers) of poly electrolytes. The pH of the PSS solution was not adjusted,
330 whereas the pH of the PEI was always adjusted to 9 for both modified and
331 unmodified cases. After coating, the coupons were stored in DI water for at
332 least 48 hours until use.

333 2.5. Filtration experiments

334 Dead-end filtration experiments were carried out to characterize the
335 manufactured membranes. For this purpose, a 300 ml SterlitechTM HP4750
336 stirred cell connected to a nitrogen pressurized vessel was used. The experi-
337 ments typically lasted for at least six hours, however, at least one experiment
338 of each data set was continued for up to 48 hours to verify the stability of
339 the results. The permeate was sampled more frequently at the beginning
340 until steady state was reached, after which sampling frequency was reduced.
341 Transmembrane pressure was set at five bars. The filtration vessel was kept
342 under constant stirring at room temperature for the duration of the experi-
343 ment.

Single salt experiments using LiCl, NaCl and KCl were performed at a feed cation concentration of 200 ppm. Mixed salt experiments were designed to investigate the effect of cation competition. It is known that the order of cation preference of crownethers can be different in competitive mode than the single cation mode [38]. A solution of LiCl and KCl with a cation concentration of 100 ppm each, was used as feed for mixed salt experiments. Since the membranes were prepared in presence of NaCl, they always contained some NaCl prior to first use. This made an accurate mass balance impossible and it was decided to do not include NaCl in the mixed salt experiments. In between the experiments, membrane coupons needed to be treated to regenerate the crownether sites. In most of the studies on absorbents and ion exchange resins this is done by acid treatment [42, 52]. However PEMMs are unstable at extreme pHs and it was decided to regenerate membranes by saturating them with Na ions. This was done by filtering a NaCl 200 ppm solution (similar to single salt NaCl experiments). Membrane coupons which were being used for the first time are designated as 'virgin' whereas all non specified specimen are regenerated coupons.

3. Results and discussion

3.1. PEM formation

Formation of a stable polyelectrolyte multilayer was confirmed by QCM-D experiments in the case of both modified and unmodified PEI. Furthermore reaching a constant frequency ($\Delta f/t < 0.1 Hz/min$) before 15 minute confirmed the suitability of the time span selected for adsorption and rinsing steps (refer to section 2.2). The evolution of layer thickness was linear in the case of PEMs from unmodified PEI. This is in agreement with the

369 data reported in literature [51]. Such a linear growth regime points to a low
370 degree of intermixing between PE layers [53].

371 The evolution of PEM thickness was more complex in the case of modi-
372 fied PEI/PSS pair (Fig. 5). Instead of an increase in thickness upon addition
373 of a PSS layer, the PEM lost part of its thickness (for example notice lower
374 thickness of the second PSS layer in comparison to the second PEI layer in
375 Fig. 5). This can be explained by lower degree of swelling of the membranes
376 with PSS capping layers. It should be noted that the QCM-D method can
377 not differentiate between the poly electrolyte mass and the water content
378 of the PEM. Fig. 4 shows frequency shift and dissipation evolution during
379 a typical coating procedure of the modified PEI/PSS multilayer. The dissi-
380 pation value is consistently lower after PSS rinsing steps than PEI rinsing
381 steps. Lower dissipation point to more rigid films [54] which in case of PEMs
382 are usually result of lower swelling and less looped polyelectrolytes. It can
383 thus be concluded that after addition of PSS layers, polymer films loose
384 their water content and become less thick and more rigid.

385 Multilayers formed from modified PEI were consistently thicker in com-
386 parison to their unmodified counterparts. One of the possible explanation
387 of this increased thickness can be the differences in PEM structure such as
388 presence of polymer chain loops and PEM water content and swelling. The
389 ratio of deprotonated amines to all amines in the modified PEIs is most
390 likely to be different from the unmodified counterpart. Another possible
391 explanation comes from the steric hindrance caused by relatively spacious
392 crown ether molecules. Some of the positively charged sites on PEI can
393 become inaccessible to the polyanion by this steric hindrance. Crown ethers
394 limit approaching of the sulfonate groups on PSS to the nearby protonated
395 amines. This reduces the apparent charge density of the PEI chain and

396 increases the amount of PEI needed to reach equilibrium and overcompen-
 397 sate. A definitive explanation of the nature of the increase in thickness can
 398 only come from a more thorough characterization of membranes in future
 studies.

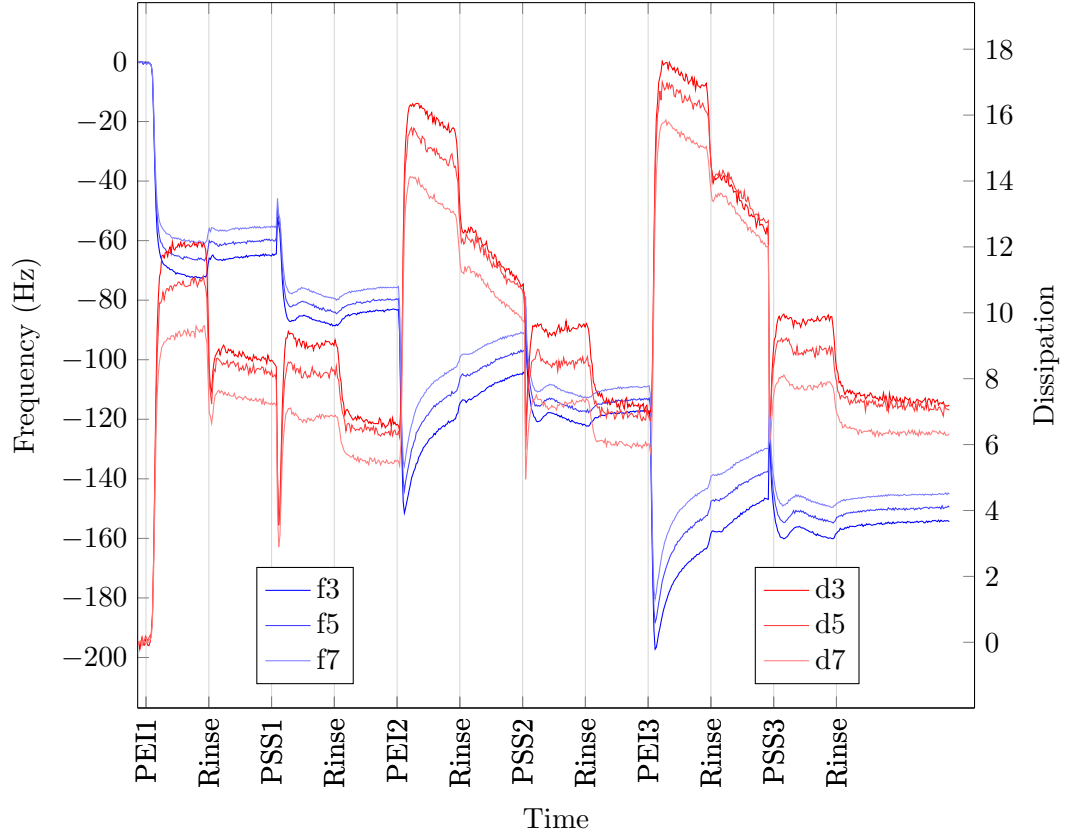


Figure 4: Frequency and dissipation vs. time of a typical modified PEI/PSS multilayer coating. For clarity only 3rd, 5th and 7th overtones are shown. A similar plot for the unmodified PEI/PSS pair can be found in the supporting materials.

399

400 3.2. PEM stability

401 Stability of the PEM at high salinities was studied in four cases: PEMs
 402 prepared from modified and unmodified PEI and with positive or negative

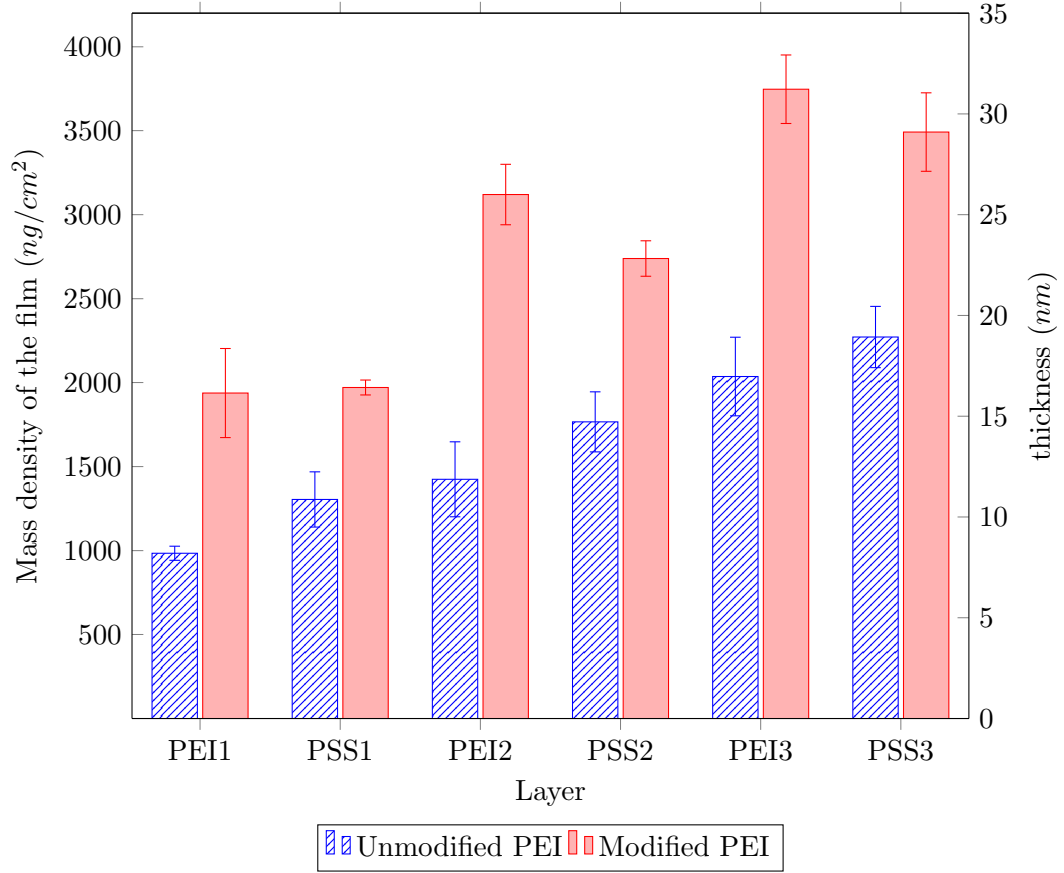


Figure 5: Evolution of thickness of layers as evaluated by QCM-D. Case of negative capping layer. The left axis represents the mass of the adsorbed film per square cm of sensor. The right axis represents approximate thickness of the film based on the assumption of a density of $1.2 \text{ gr}/\text{cm}^2$. Error bars represent standard error.

403 capping layer (final layer). These experiments were time consuming due
 404 to the long times needed to reach the constant signal, and the many steps
 405 involved (more than 72 hours for modified PEI/PSS PEMs). This rendered
 406 processing of the data with D-find software computationally intensive and
 407 not possible. As a result, change of the frequency (7^{th} overtone) was followed
 408 as a rough indicator of the adsorbed mass of the film. Changes in frequency

409 were normalized by using the equation 1 wherein f_0 denotes the frequency
 410 of the baseline.

$$\Delta f/f_0 = \frac{-(f - f_0)}{f_0} * 100\% \quad (1)$$

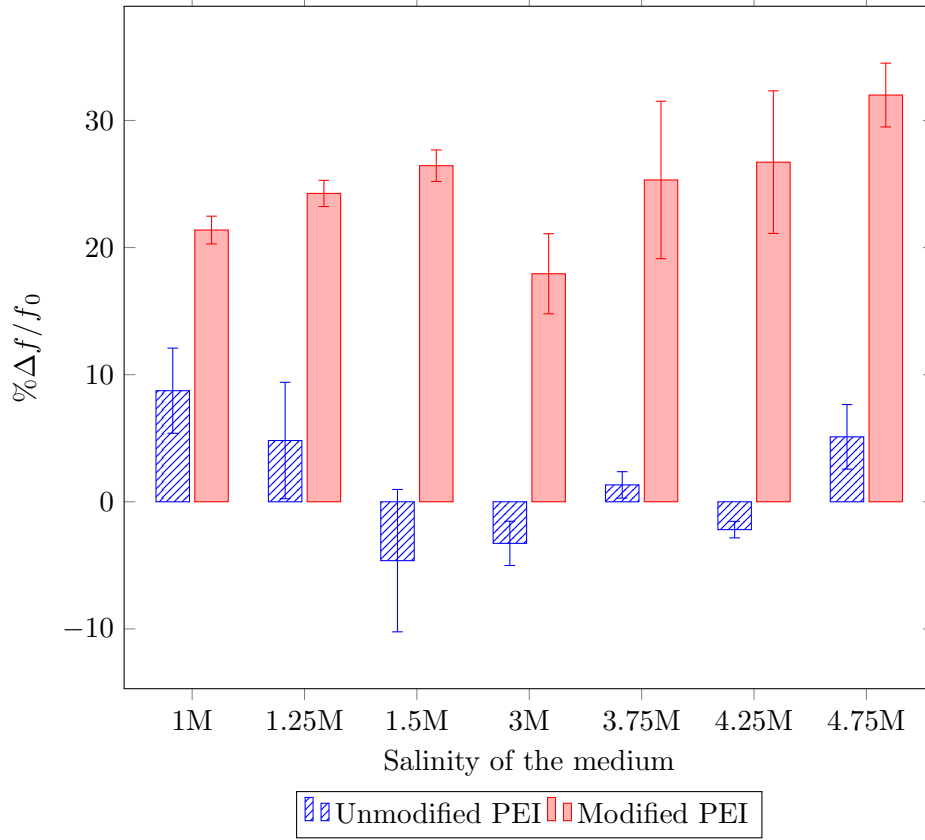


Figure 6: Percentage mass loss after contact with the saline solution versus salinity of the medium. Case of the negatively capped films (4 layers). Frequencies measured after reaching stable signals ($\Delta f/t < 0.1Hz/min$). Error bars represent standard error from 4 samples. Some of the steps are not reported to enhance the clarity of the chart.

411 The frequency shifts observed here are the result of two simultaneous
 412 phenomena:

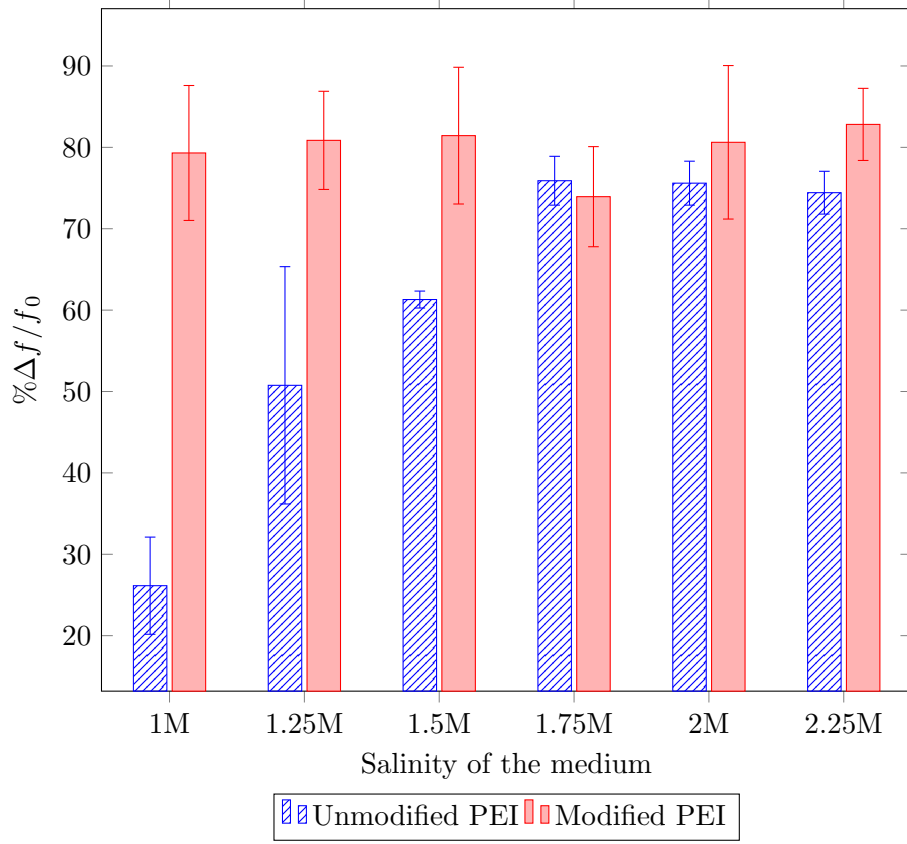


Figure 7: Percentage mass loss after contact with the saline solution versus salinity of the medium. Case of the positively capped films(5 layers). Frequencies measured after reaching stable signals ($\Delta f/t < 0.1Hz/min$). Error bars represent standard error from 3 samples. Some of the steps are not reported to enhance the clarity of the chart.

- 413 I. Poly electrolyte detachment due to the instability,
414 II. Film water content due to the internal salt content of the film;
- 415 Although the comparison was made between PEMs in Milli-Q water envi-
416 ronment, a portion of the Na^+ and Cl^- ions absorbed by the PEM during
417 the contact with the saline solutions could not diffuse out during the rinsing
418 and there are slight differences in internal salinity of the film. This results in

the increased swelling and increased water content of the PEM. Detachment of the polyelectrolytes from the PEM (mechanism I) results in an increase in the frequency, whereas higher water content of the film results in a reduction in the frequency. As such I and II can act against each other. The final observed frequency is a summation of effects from I and II.

3.2.1. Negative capping layer

PEMs formed in four layers are ending in PSS and thus possess a negative surface charge. A summary of results for these PEMs is available in Fig. 6. Considering the sensitivity of the analysis and the error values, It can be claimed that the PEMs formed from unmodified PEI fully retained their stability until the salinity of 4.75M. A more or less stable film results in small absolute values for mechanism I which are comparable to absolute values of frequency shift due to II. Thus the summation of I and II results in small changes resonating around the zero value. The situation was more complex for the case of the modified PEI/PSS film. An initial mass loss was observed on the first contact with saline medium, whereby up to 20% of the PEM mass was lost. However, the multilayer becomes more stable after this initial loss and retains most of its weight until reaching salinity of 4.75M NaCl.

3.2.2. Positive capping layer

Five layered PEMs end in polycation and possess positive surface charge. Results from this set of experiments are reflected in Fig. 7. Here, in both cases of modified and unmodified polycations we observe less stable films. In the case of unmodified PEI, an initial mass loss of around 25% is observed. This loss increases to up to 60% by reaching 1.5M and up to 75% by reaching

444 1.75M. Further increase in the salinity doesn't result in considerable mass
 445 loss (refer to Fig. 7). The 5 layer PE films are clearly unstable and extremely
 446 susceptible to salinity. Furthermore, the stepwise loss in the mass suggests
 447 removal of the layers from the film and conforms with the quasi layered
 448 (versus completely mixed) structure.

449 To best explain the observed behaviors, it's helpful to consider the "in
 450 and out" mechanism of LBL deposition as articulated by Richert *et al.* [55]
 451 and discussed in [56]. Observed results can be explained by the relative mo-
 452 bility of the three PEs involved. Polymer mobility is a complex function of
 453 the rigidity, size, pH, linearity, etc. [56]. However, it is known that polymer
 454 diffusion is roughly inversely proportional to its molecular weight[57]. The
 455 huge difference in the molecular weight of the PEI and PSS used in this
 456 study insures lower mobility of the polycation. This is further reinforced
 457 with highly branched structure of the PEI. Modified PEIs, possess rela-
 458 tively spacious crownether pendants that reduce their mobility even further.
 459 Moreover, crownethers can form hydrogen bonds with protonated amines
 460 [15] which can act as weak cross linking in PEI chains and further reduce
 461 the mobility of the modified polycation and hinder PSS diffusion into them.
 462 Consequently, the order of mobility of PEs would be PSS \gg PEI>modified
 463 PEI. As such, we expect low degree of intermixing between layers in the case
 464 of both modified and unmodified PEMs, with the modified PEMs being even
 465 less intermixed than their unmodified counterparts. The results of the ex-
 466 periment (linear growth, refer to section 3.1) conform with this expectations
 467 as well. Moreover, this explanation is in agreement with the molecular dy-
 468 namics simulation and experimental results of Lee *et al.* [58] who found
 469 PEM films made from bigger polymers more layered and suggested a similar
 470 explanation for it.

471 As mentioned by the [56], in these situations the more mobile polymer
472 diffuses in the film while the less mobile species retains its more or less
473 layered structure. We hypothesize that the PSS chains are diffusing inside
474 the previously deposited layers and by complexing with PEI act like a cross
475 linker between previous PE chains. In the absence of final capping PSS layer,
476 the links between top poly cation chains (5th layer) and rest of the film is
477 exceptionally weak. This effect is more pronounced in the case of modified
478 PEIs and explains the less stable PEMs with positive capping layer.

479 Once the inadequately anchored 5th layer is removed, an interesting case
480 arises from comparing with the 4-layered membranes. It appears that upon
481 addition of the less mobile PEI layer, stability of the previous layers is also
482 compromised. However this is expected in lights of the "in and out" model.
483 Upon addition of the PEI layer, PSS chains present in the lower layers diffuse
484 towards the surface, weakening the internal links of the first four layers.

485 Differences observed between the modified and unmodified cases of 4-
486 layered PEMs can be explained in a similar fashion. Lower mobility of
487 the modified PEI reduces the relative mobility of the polymer chains and
488 hinders PSS. This reduces the stability, but is not as severe as the case of five
489 layered membranes and once the top layers lose their inadequately anchored
490 polyelectrolytes, the PEM becomes stable.

491 In both modified and unmodified PEMs, a complete dissolution of the
492 PEM structure was not achievable by increasing salinity and a 2% solution
493 of Hellmanex[®] III solution (Hellma GmbH, Germany) providing a pH of 12
494 had to be used to completely remove the adsorbed films.

495 *3.3. Filtration experiments*

496 Salt rejection experiments were performed with both types of membranes
 497 and selectivity between different cations was followed as

$$\alpha_{\text{Li/K}} = \frac{\frac{C_{\text{Li,permeate}}}{C_{\text{Li,feed}}}}{\frac{C_{\text{K,permeate}}}{C_{\text{K,feed}}}} \quad (2)$$

498 Wherein C_x denotes concentration of species x in ppm. The permeability
 499 of the membrane towards water and different solutes was followed by water
 500 and solute permeability (A and B) constants in Solution-Diffusion model
 501 [59]. Corrections for the concentration polarization phenomena were made
 502 based on the Film theory [60].

$$J_w = A(\Delta P - \Delta\pi) \quad (3)$$

$$\frac{C_m - C_p}{C_b - C_p} = \frac{\frac{R_{\text{real}}}{1 - R_{\text{real}}}}{\frac{R_{\text{obs}}}{1 - R_{\text{obs}}}} = \exp\left(\frac{J_w}{k}\right) \quad (4)$$

$$B = \frac{J_s}{C_m - C_p} = \frac{J_w(1 - R_{\text{obs}})}{R_{\text{obs}} \exp\left(\frac{J_w}{k}\right)} \quad (5)$$

Wherein J_w and J_s denote water and solute flux. ΔP and $\Delta\pi$ represent applied pressure difference and osmotic pressure difference. C_p, C_b and C_m stand for concentration of the solute at the permeate, feed and membrane surface respectively. And finally R_{obs} and R_{real} denote observed and real rejections. The mass transfer coefficient, k, was calculated as: [61]

$$Sh = kr/D = 0.023Re^{0.567}Sc^{0.33} \quad (6)$$

$$Re = \omega r^2/\nu \quad (7)$$

503 Wherein Sh, Re and Sc denote Sherwood, Reynolds and Schmidt numbers
 504 and ω and r represent the angular velocity and radius of the stirrer . The

diffusion coefficient, D , was calculated for the feed concentration of the salts according to the equations provided by Lobo [62].

3.3.1. Behavior in the transient state

In experiments with modified membranes performance of the membrane in terms of solute rejection was a function of time, allowing for two stages to be differentiated. At the start of the experiment a transient step could be identified, represented by the gray zone in Fig.8. After about 90 minutes, a plateau was reached and the performance of the membrane was stabilized. In the case of membranes prepared from unmodified PEI, the value of $\alpha_{Li/K}$ was constant and close to that of the feed. Therefore, the quasi breakthrough curve observed in the transient step can be associated with the complexation of cations and crown ether moieties. We postulate that at around 90 minutes, all crownether sites are saturated and selectivity of the membrane is lost. A similar behavior and explanation is also reported by Tas *et al.* in crownether containing ion-exchange membranes [42]. It is worth mentioning that regenerated membranes exhibit comparable behavior over several regeneration cycles and as such, the loss of selectivity can not be associated with the instability of the membranes.

The modified membranes show a tendency towards hindering the lithium more than potassium during the transient step, reflected in $\alpha_{Li/K}$ values of lower than one. This points to a stronger interaction between lithium ions and the crown ether in comparison to the potassium cations. Although the order of preference of free crown ether in the bulk is in reverse (refer to Table 1), a change in the complexation was expected in crown ethers inside the membrane pores. Potassium is generally believed to form sandwich type 2:1 complexes with the 15-crown-5 [23]. A complex type that is severely

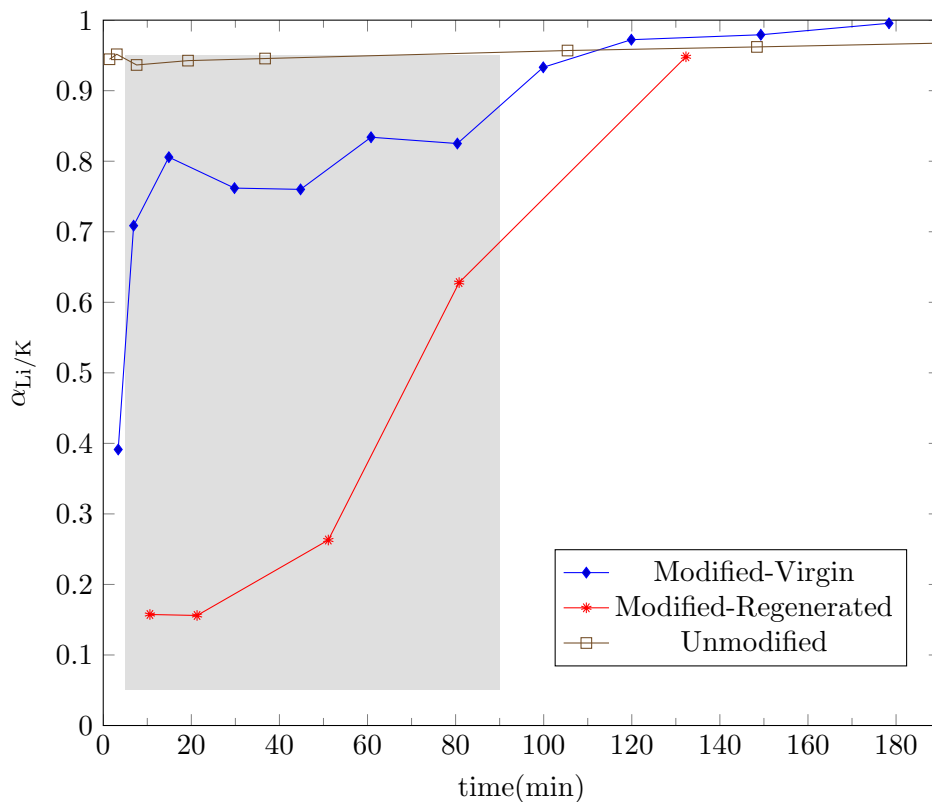


Figure 8: $\alpha_{Li/K}$ as a function of time

hampered due to the steric hindrance in highly branched structure of the PEI. In contrast, lithium ions are smaller and form 1:1 complexes. We hypothesize that the effect of steric hindrance has reduced the possibility of 2:1 complex formation for potassium, leading to stronger lithium complexes in comparison to potassium ones.

It is worth mentioning that each crownether molecule has been in contact with several cations before it reaches the saturation point. By rough estimations, close to 13 nmol of crownether are present in membrane coupons (refer to the supporting materials for detailed calculation of this value). In

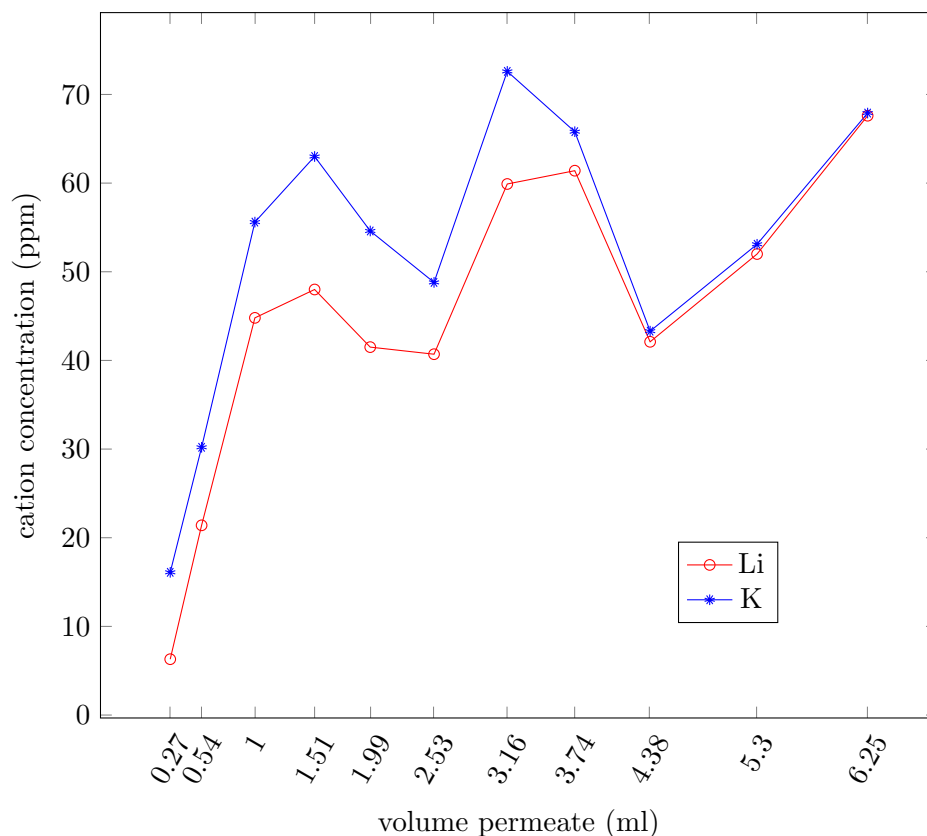


Figure 9: Cation concentration in the permeate as a function of the volume of the permeate. Experiment performed on virgin modified membrane and mixed salt conditions.

540 a typical sample of regenerated membranes in mixed salt feed, about 11
 541 μ mol lithium and 5 μ mol potassium have passed through the membrane
 542 before reaching the 90 minute mark. As a result, it can be expected that
 543 each crown ether molecule undergoes several complexation/decomplexation
 544 cycles before reaching the saturation point. This suggests a kinetic factor
 545 that limits the release of the complexed ions, leading to the eventual satu-
 546 ration and exhaustion of all crownether sites. However the exact reason and
 547 mechanism behind the saturation of complexing sites remains elusive and

548 further investigations are needed to validate this claim.

549 3.3.2. Behavior in steady state

550 In general, adding the crownether molecules to the PEI chain resulted
551 in membranes with lowered permeability and increased salt rejection. Upon
552 PEI modification, water permeability constant (A) was lowered by close to
553 70% from 4.53 E-7 to $1.26 \text{ E-7 } \frac{\text{m}}{\text{s bar}}$. At the same time, the salt rejection of
554 the membranes increased from a value of 6.8% to 71.6% for the case of KCl
555 and 3.5% to 41.1% in the case of LiCl in crownether containing coupons.
556 This is in accordance with the changes as big as one order of magnitude in the
557 solute permeability (B) constants (refer to table 3). While such a behavior
558 is in agreement with the general permeability/selectivity trade-off [63], its
559 nature should be further investigated. One of the possible explanations
560 can be the increase in the thickness of the modified membranes. In order
561 to verify this hypothesis, a comparison between 6 layer (3 bilayer) and 14
562 layer (7 bilayer) membranes was made (for a detailed explanation of the
563 synthesis of 14 layer membranes and the performed experiment please refer
564 to the supporting information). While the unmodified 14 layer membrane is
565 thicker than its 6 layer modified counterpart, it still shows a lower rejection.
566 Therefore, increased thickness can not be assumed the sole reason for the
567 increased rejections.

568 Since the lack of cation selectivity in this step rules out any effect from
569 complexation, we postulate that a structural difference between the two
570 PEMMs is responsible for the increased rejection. The structural difference
571 can be caused by the change in mobility and hydrophobicity of the PEI
572 upon modification and is also suggested by the QCM-D results of Sec. 2.2
573 and Sec. 3.2. For example, a more layered structure is expected to increase

Table 3: Water and solute permeability constants A and B for single salt experiments at steady state.

Membrane	$A(\frac{m}{s\ bar})$	$B_{Li} (m/s)$	$B_K (m/s)$
Unmodified PEI/PSS	$4.53 \pm 0.49\ E-7$	$7.0 \pm 0.03\ E-8$	$6.8 \pm 2.4\ E-8$
modified PEI/PSS	$1.26 \pm 0.08\ E-7$	$1.4 \pm 0.1\ E-8$	$9 \pm 2\ E-9$

rejection. This will be the subject of a future study. It is worth mentioning that at steady state, the solute permeability factor (B) of lithium is 1.5 times higher than that of one of the potassium. However, this is not in contradiction to the results of the transient state (α values). The crown ether sites are all saturated at this stage and do not affect the ion transportation. The higher permeability of lithium in this stage can be contributed to its higher intrinsic mobility in the membrane.

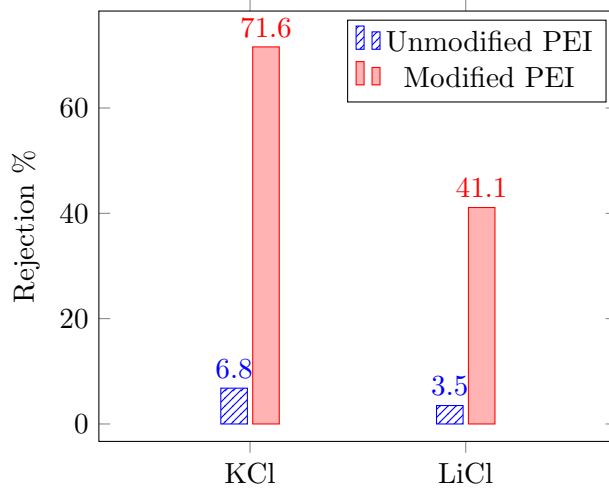


Figure 10: Comparison of Rejection values for Li and K in single salt experiments at steady state step.

581 3.4. Applicability

582 The goal of this study was to achieve a lithium rich permeate stream
583 by manufacturing a monovalent selective PEMM membrane. However, the
584 resulted membranes exhibited a potassium selective nature. Nevertheless,
585 we believe the results of the study can contribute to the goal of the study
586 as a proof of concept.

587 Cation selectivity of the PEMM membranes with embedded crown-ether
588 moieties is proven in this study. The authors postulate that replacing the
589 chosen crown-ether with other ligands of this family results in membranes
590 with different cation selectivities. It should be noted that the synthesis
591 route of the crown ether moiety (section 2.1.1) is not exclusive to the 15-
592 crown-5. Different crown ethers can be synthesized via the same route by
593 changing the linear ether precursor (here bis[2-(2-chloroethoxy)ethyl]ether).
594 The presented synthesis procedure can thus be used for other cation/crown
595 ether pairs as well. Selection of the appropriate crownether for the future
596 studies can be based on the reasoning provided in this manuscript.

597 4. Conclusion

598 The crown ether molecule 15-crown-5 was synthesized and incorporated
599 in the PEI chains. The modified PEI polymers were used as one of the poly
600 electrolytes in LBL deposition in conjugation with PSS as poly anion. The
601 stability of the PEM was established on silica substrate and the PEMMs
602 were prepared and characterized in terms of water, lithium and potassium
603 permeability. The synthesized membranes showed selectivity only for a de-
604 fined period of time.

605 **5. Acknowledgment**

606 This project has received funding from European Union’s Horizon 2020
607 research and innovation program under Marie Skłodowska-Curie grant agree-
608 ment N°676070. Authors would like to thank Ir. Lorenz De Neve for assist-
609 ing in NMR analysis, Ir. Christophe vandeputte who synthesized modified
610 polymers as his master thesis and Ir. Pieter Naert for his invaluable inputs
611 on the synthesis of the polymers and assistance with the HNMR analysis.

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